

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

FIG. 1

100

BOOKS

Open

Import

STATUS

Active

Suspend

Cancel

NEW BID/OFFER

Item:

Price:

Size:

SHIFT PARAMETERS

Type:

Price:

☐ Absolute

☐ Relative

Size:

☐ Shift Up

☐ Shift Down

☐ Shift Up

☐ Shift Down

BOOK:

ITEM	SIZE	PRICE	STATUS

OK

CLOSE

SETUP

1/10

154

156

158

116

124

122

122

120

122

121

130

128

126

160

160

132

134

162

162

☐ Select All ☐ Clear Selections

BOOK:

STATUS

PRICE

SIZE

ITEM

138

2/10

FIG. 2

200

BOOKS

Open

Import

STATUS

Active

Suspend

Cancel

☐ Select All ☐ Clear Selections

NEW BID/OFFER

Item:

Price:

Size:

SHIFT PARAMETERS

Type:

Absolute Relative Default

Price:

Shift Up Shift Down Shift Up Shift Down

BOOK: Treasury Bonds

ITEM	SIZE	PRICE	STATUS
✓ 5 YR Bond	1	\$100.00	Suspend
✓ 10 YR Bond	2	\$98.00	Suspend
A 30 YR Bond	1	\$97.27	Suspend

OK

CLOSE

SETUP

138

3/10

FIG. 3

300

SETUP

DEFAULT SHIFT SETTINGS

STATUS

Active

Suspend

SHIFT PARAMETERS

Type: Absolute Relative

Price: 5.00 % 6.50 %

Size: Shift Up Shift Down

Shift Up Shift Down

PREFERENCES

OPERATION MODE

Manual

Automatic

Automatic Settings

OK

CANCEL

4/10

400

FIG. 4

BOOKS

Open

Import

NEW BID/OFFER

Item:

Price:

Size:

SHIFT PARAMETERS

Type:

Absolute

Relative

Default

Price:

Size:

Shift Up ☐

Shift Down ☐

STATUS

Active

Suspend

Cancel

☐ Select All ☐ Clear Selections

BOOK: Tech Stocks

ITEM	SIZE	PRICE	STATUS
A AMD	50	\$24.30	Active
CSCO	35	\$13.72	Cancel
INTC	100	\$25.15	Active

OK

CLOSE

SETUP

402

404

406

408

410

412

414

FIG. 5A

500

BOOKS

Open

Import

STATUS

Active

Suspend

Cancel

NEW BID/OFFER

Item:

122 ▼

Price:

122 ▼

Size:

120 ▼

SHIFT PARAMETERS

Type:

Default

Price:

132 ▼

Size:

160 ▼

Shift Up ☐

Shift Down ☐

☒ Select All
 ☐ Clear Selections

BOOK: Internet Stocks

ITEM	SIZE	PRICE	STATUS
✓ YHOO	100	\$12.00	Suspend ▲
✓ EBAY	150	\$30.98	Suspend ▼
✓ AMZN	300	\$8.47	Suspend ▼

OK

CLOSE

SETUP

5/10

550

FIG. 5B

Applicant: Andrew C. Gilbert
 Application No.: 09/858,091
 For: SYSTEMS AND METHODS FOR SHIFTING BIDS AND OFFERS IN A TRADING INTERFACE
 Agent: Joel Weiss, Reg. No. 44,398

Docket No.: CF/028
 Filed: May 15, 2001
 Sheet 6/10

6/10

BOOKS

Open

Import

NEW BID/OFFER

Item:

Price:

Size:

SHIFT PARAMETERS

Type: Absolute Relative Default

Price:

Shift Up ☐ Shift Down ☐

STATUS

Active

Suspend

Cancel

☐ Select All ☐ Clear Selections

BOOK: Internet Stocks

ITEM	SIZE	PRICE	STATUS
YHOO	100	\$12.00	Active
EBAY	150	\$30.98	Active
AMZN	300	\$8.47	Active

OK

CLOSE

SETUP

108

110

138

410

412

154

7/10

FIG.6A

600

BOOKS

Open

Import

NEW BID/OFFER

Item:

Price: Size:

SHIFT PARAMETERS

Type: Absolute Relative Default

Price: Shift Up ☐ Shift Down ☐

☐ Select All ☐ Clear Selections

STATUS

Active

Suspend

Cancel

BOOK: Securities (Electric & Communication)			
ITEM	SIZE	PRICE	STATUS
CIN	50	\$33.72	Active
BLS	40	\$40.00	Suspend
REI	25	\$45.80	Active

OK

CLOSE

SETUP

138

114

108

154

650

FIG.6B

BOOKS

Open

Import

STATUS

Active

Suspend

Cancel

NEW BID/OFFER

Item:

Price:

Size:

SHIFT PARAMETERS

Type:

Price:

Absolute

Relative

Default

Shift Up ☐

Shift Down ☐

Shift Up ☐

Shift Down ☐

BOOK: Securities (Electric & Communication)

ITEM	SIZE	PRICE	STATUS
REI	25	\$45.80	Active

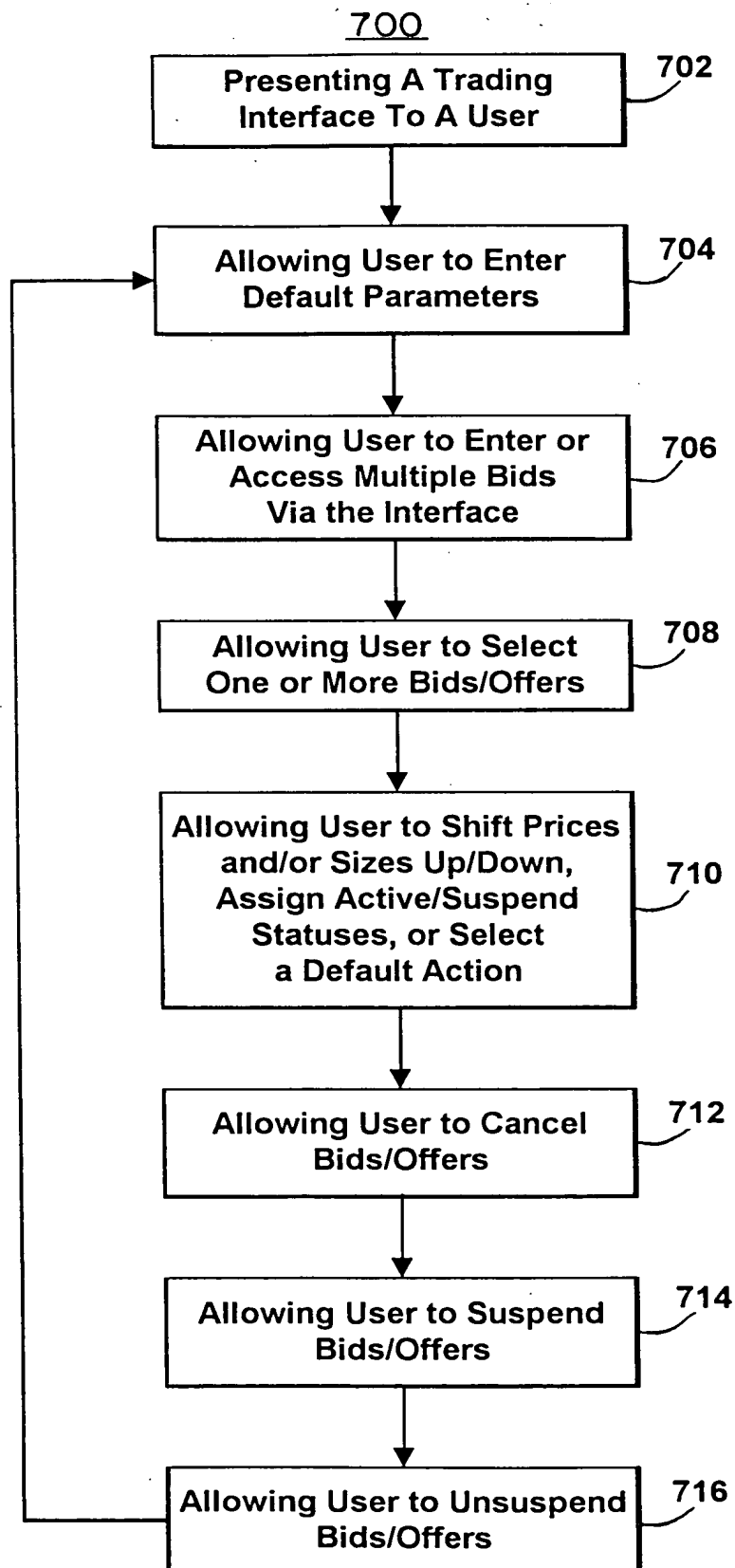
OK

CLOSE

SETUP

9/10

FIG. 7



10 / 10

800

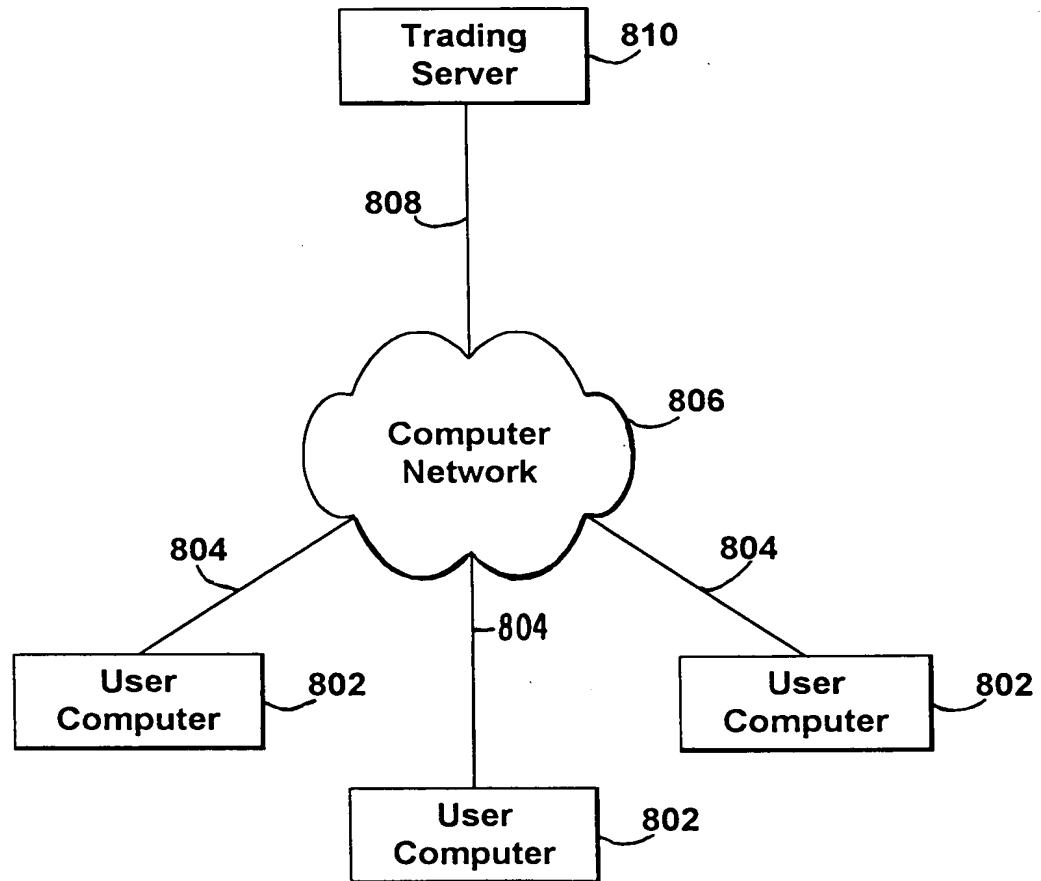


FIG. 8